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on February 21, 2007

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
Examining Group 1632
Patent Application
Docket No. USF-182XC1
Serial No. 10/655,873


Glenn P. Ladwig, Patent Attorney

FEB 23 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Louis D. Lieto, Ph.D.
Art Unit : 1632
Applicants : Shyam S. Mohapatra, Mukesh Kumar
Serial No. : 10/655,873
Filed : September 5, 2003
Conf. No. : 6872
For : Genetic Adjuvants for Immunotherapy

MS AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§1.97 AND 1.98

Sir:

In accordance with 37 C.F.R. §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed. However, the applicants have not submitted a copy of the published U.S. applications cited on attached Form PTO/SB/08 pursuant to 37 C.F.R. §1.98(a)(2)(ii).

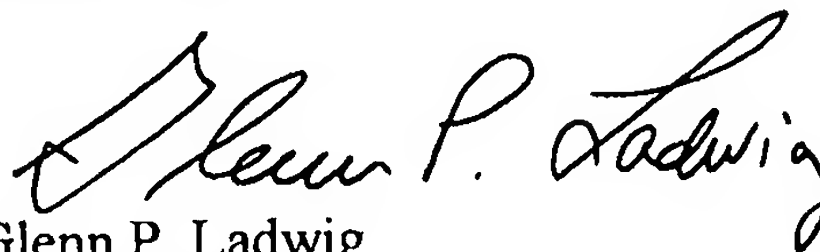
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02/26/2007 SFELEKE1 00000010 190065 10655873

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The applicants respectfully assert that the substantive provisions of 37 C.F.R. §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,



Glenn P. Ladwig

Patent Attorney

Registration No. 46,853

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: P.O. Box 142950
Gainesville, FL 32614-2950

GPL/mv

Attachments: Form PTO/SB/08 (2 pages); copies of some references cited therein



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/655,873	
			Filing Date	September 5, 2003	
			First Named Inventor	Shyam S. Mohapatra	
			Art Unit	1632	
			Examiner Name	Louis D. Lieto, Ph.D.	
Sheet	1	of	2	Attorney Docket Number	USF-182XC1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/MN/	U1	US-2002/0044919 A1	04-18-2002	Yu	All
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	U	US-			
	U	US-			
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	U	US-			
	U	US-			
	U	US-			
	U	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	F1					
	F2					
	F3					
	F4					
	F5					
	F6					
	F7					

Examiner Signature	/Marcia Noble/	Date Considered	09/14/2007
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/655,873	
			Filing Date	September 5, 2003	
			First Named Inventor	Shyam S. Mohapatra	
			Group Art Unit	1632	
			Examiner Name	Louis D. Lieto, Ph.D.	
Attorney Docket Number	USF-182XC1				
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
/MN/	R1	DOBSON, J. "Gene therapy progress and prospects: magnetic nanoparticle-based gene delivery" <i>Gene Therapy</i> , 2006, 13:283-287.		
	R2	KINSEY, B. <i>et al.</i> "Non-viral gene delivery to the lungs" <i>Current Gene Therapy</i> , 2005, 5:181-194.		
	R3	KUMAR, M.N.V.R. <i>et al.</i> "Nanoparticle-mediated gene delivery: state of the art" <i>Expert Opin. Biol. Ther.</i> , 2004, 4(8):1213-1224.		
	R4	POUTON, C. and SEYMOUR, L. "Key issues in non-viral gene delivery" <i>Adv. Drug Deliv. Rev.</i> , 2001, 46:187-203.		
/MN/	R5	READ, M. <i>et al.</i> "Barriers to gene delivery using synthetic vectors" <i>Adv. in Genetics</i> , 2005, 53:19-46.		
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Examiner Signature	Marcia S. Noble	Digitally signed by Marcia S. Noble DN: cn=Marcia S. Noble, c=US, o=USPTO, ou=AU 1632, email=Marcia.Noble@uspto.gov Date: 2007.09.14.18:34:53 -0400	/Marcia Noble/	Date Considered	09/14/2007
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Glenn P. Ladwig
Glenn P. Ladwig, Patent Attorney

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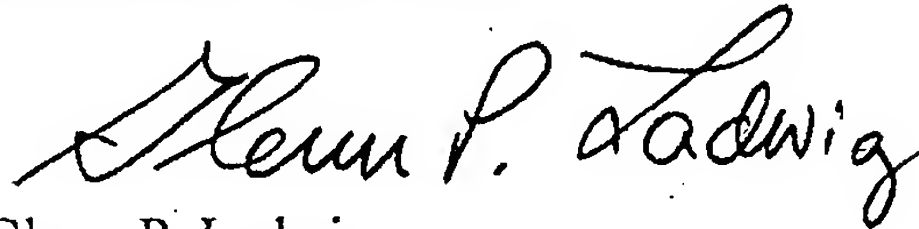
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Application Number	10/655,873
Filing Date	September 5, 2003
First Named Inventor	Shyam S. Mohapatra
Art Unit	1632
Examiner Name	Marcia Stephens Noble
Attorney Docket Number	USF-182XC1

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/MN/	U1	US-7,052,685 B1	05-30-2006	Rook	All
	U	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	F1					
	F2					
	F3					
	F4					
	F5					
	F6					
	F7					

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Signature

/Marcia Noble/

Date

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**INFORMATION DISCLOSURE
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(use as many sheets as necessary)

Sheet

2

of

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Application Number

10/655,873

Filing Date

September 5, 2003

First Named Inventor

Shyam S. Mohapatra

Group Art Unit

1632

Examiner Name

Marcia Stephens Noble

Attorney Docket Number

USF-182XC1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/MN/	R1	GROHMANN, U., et al. "Positive Regulatory Role of IL-12 in Macrophages and Modulation by IFN-γ" <i>The Journal of Immunology</i> , 2001, 167:221-227.	
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Examiner
Signature

Marcia S. Noble

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o=USPTO, ou=AU 1632, email=Marcia.
Noble@uspto.gov
Date: 2007.09.14 18:37:03 -0400

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